

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent application of: Harold Aberman, Timothy Simon and Douglas Jackson

for *CARTILAGE REPAIR PLUG*, the specification of which is being transmitted herewith.

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

**Section 1. Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted**

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application SN.: 09/797,444, filed on February 28, 2001.

The following references were submitted to, and/or cited by, the Office in the prior application and, therefore, are not required to be provided in this application:

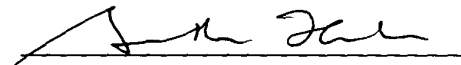
Please see attached Form PTO/SB/08A and Form PTO-892 which list the cited references.

**Section 2. Identification of Person(s) Making This Information Disclosure Statement**

The person making this certification is:

- a. the practitioner who signs below on the basis of the information in the practitioner's file.

Date: 8/28/03



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Substitute for form 1449A/PTO

(use as many sheets as necessary)

Sheet

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Application Number	09/797,444
Filing Date	02/28/2001
First Named Inventor	Timothy Simon
Group Art Unit	
Examiner Name	
Attorney Docket Number	SIMTI.56627

U.S. PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	1	3,875,595		Froning	04/08/1975	
	2	4,446,578		Perkins et al.	05/08/1984	
	3	4,570,270		Oechsle, III	02/18/1986	
	4	4,641,651		Card	02/10/1987	
	5	4,743,632		Marinovie	05/10/1988	
	6	4,904,260		Ray et al.	02/27/1990	
	7	4,938,763		Dunn et al.	07/03/1990	
	8	5,077,049		Dunn et al.	12/31/1991	
	9	5,007,940		Berg	04/16/1991	
	10	5,067,964		Richmond et al.	11/26/1991	
	11	5,130,347		Mitra	07/14/1992	
	12	5,278,201		Dunn et al.	01/11/1994	
	13	5,324,519		Dunn et al.	06/28/1994	
	14	5,340,849		Dunn et al.	08/23/1994	
	15	5,414,049		Sun et al.	05/09/1995	
	16	5,468,787		Braden et al.	11/21/1995	
	17	5,556,429		Felt	09/17/1996	
	18	5,599,552		Dunn et al.	02/04/1997	
	19	5,607,474		Athanasiou et al.	03/04/1997	
	20	5,655,546		Halpern	08/12/1997	

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Examiner  
Signature

Date	
Considered	

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO			<b>Complete if Known</b> Application Number 09/525,437 Filing Date 03/14/2000 First Named Inventor Timothy Simon Group Art Unit 3738 Examiner Name Attorney Docket Number SIMT1.50641	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				
Sheet	2	of	2	

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Examiner Signature		Date Considered	
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 1

Application Number	09/797,444
Filing Date	02/28/2001
First Named Inventor	Timothy Simon
Group Art Unit	
Examiner Name	
Attorney Docket Number	SIMTL56627

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01/31/2002

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	18	5,599,552		Dunn et al.	02/04/1997	
	19	5,607,474		Athanasidou et al.	03/04/1997	
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Examiner Signature	<i>Ken Ross</i>	Date Considered	01/31/2002
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Approved for use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**Complete if Known**

Application Number	09/525,437
Filing Date	03/14/2000
First Named Inventor	Timothy Simon
Group Art Unit	3738
Examiner Name	

Sheet	2	of	2	Attorney Docket Number	SIMTI.50641
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01/31/2002

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<b>Notice of References Cited</b>	Application/Control No. 09/797,444	Applicant(s)/Patent Under Reexamination SIMON ET AL.	
	Examiner Hieu Phan	Art Unit 3738	Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-6,342,055	01-2002	Eisermann et al.	606/60
	B	US-5,067,964	11-1991	Richmond et al.	623/14.12
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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	V	
	W	
	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
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